

**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Applicant(s) : Wonrack CHOI, Chang-Kyun HAN, Tae Kon KIM,  
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Bongcheol KIM, Soomin LEE, Wie-Jong KWAK

Serial No. : 10/575,384 ✓

For : TRITERPENE COMPOUNDS WHICH ARE EFFECTIVE  
ON IMPROVEMENT OF BRAIN FUNCTION

Filed :

Int'l Appln. No. : PCT/KR2004/002590

Int'l Filing Date : 11 October 2004 (11.10.2004)

Priority Date : 10 October 2003 (10.10.2003)

Examiner : Not Yet Assigned

Art Unit : Not Yet Assigned

Confirmation No. : Not Yet Assigned

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**EXPRESS MAIL**

Mailing Label Number: EM051110861US

Date of Deposit: February 21, 2007

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Service under 37 CFR 1.10 on the date indicated above and is addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Ronald R. Santucci

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)

**RESPONSE TO NOTIFICATION OF MISSING REQUIREMENTS**

Commissioner for Patents  
P.O. Box 1450  
Alexandria, Virginia 22313-1450

Dear Sir:

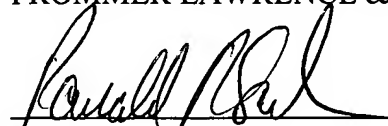
In response to the Notification of Missing Requirements mailed February 9, 2007 for the above referenced patent application, Applicants enclose the following:

- a) a copy of the Notification of Missing Requirements;
- b) a fully executed declaration for this application;
- c) an executed assignment document for this application along with recordation cover sheet,
- d) an Information Disclosure Statement along with Form 1449 and copies of each of the cited references; and
- e) a check in the amount of \$170 which includes the surcharge for late filing of the declaration by a large entity (\$130) and the fee for the recording of the assignment document (\$40).

The Commissioner is authorized to charge any additional fees that may be required to Deposit Account No. 50-0320.

Respectfully submitted,  
FROMMER LAWRENCE & HAUG LLP

By:

  
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02/26/2007 GFREY1 00000132 10575384  
01 FC:1617 130.00 OP



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
 United States Patent and Trademark Office  
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 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/575,384	Wonrack Choi	930086-2026

INTERNATIONAL APPLICATION NO.
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PCT/KR04/02590

I.A. FILING DATE	PRIORITY DATE
10/11/2004	10/10/2003

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 FROMMER LAWRENCE & HAUG  
 745 Fifth Avenue  
 New York, NY 10151

CONFIRMATION NO. 9008

371 FORMALITIES LETTER



\*OC000000022355772\*

DOCKETED

Date Mailed: 02/09/2007

### NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 04/10/2006
- Copy of the International Search Report filed on 04/10/2006
- Copy of IPE Report filed on 04/10/2006
- Preliminary Amendments filed on 04/10/2006
- Oath or Declaration filed on 04/10/2006
- U.S. Basic National Fees filed on 04/10/2006
- Priority Documents filed on 04/10/2006

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date. The current oath or declaration does not comply with 37 CFR 1.497(a) and (b) in that it:
  - is not executed in accordance with either 37 CFR 1.66 or 37 CFR 1.68.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

#### SUMMARY OF FEES DUE:

Total additional fees required for this application is **\$130** for a Large Entity:

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- **\$130** Surcharge.

**ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.**

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.  
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at **1-866-217-9197** or visit our website at <http://www.uspto.gov/ebc>.

**If you are not using EFS-Web to submit your reply, you must include a copy of this notice.**

SHAKEEL AHMED

Telephone: (703) 308-9140 EXT 208

**PART 1 - ATTORNEY/APPLICANT COPY**

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/575,384	PCT/KR04/02590	930086-2026